5230 Carroll Canyon Road, Suite 224
San Diego, CA 92121
Tel: (858) 935-1125
Fax: (858) 452-3742

Personal Data & Suitability

Account Title (if Trust, Partnership, custodial, etc.)	**Account Type**
	☐ Individual ☐ Trust ☐ Joint ☐ Traditional IRA ☐ Rollover IRA ☐ Roth IRA ☐ Other____________________

Primary Client Name (Individual, Trustee, Custodian)	**Secondary/Joint Name** (Individual, Trustee, Custodian)
Street Address	Street Address
City State Zip	City State Zip
Tax ID Date of Birth Citizenship	Tax ID Date of Birth Citizenship
Primary Phone # Secondary Phone #	Primary Phone # Secondary Phone #
Primary Email Address	Primary Email Address
Mailing Address (if different than legal address)	Mailing Address (if different than legal address)
City State Zip	City State Zip

Referring Advisor: ______________________________

Approximate Investment Amount: ______________________________

How long before you plan on withdrawing funds, in excess of dividends, from this account? **Points** ☐

Less than one year.. 1
1 to 3 years.. 2
3 to 5 years.. 3
5 to 10 years.. 4
More than 10 years.. 5

How old are you? ☐

49 and under.. 8
50 - 64.. 7
65+.. 6

What do you expect to withdrawal from this investment in the next 2 years? ☐

0%.. 8
1% - 4%.. 5
4% +.. 2

How long could you support yourself and/or your family without liquidations from this account? ☐

0-6 months.. 0
6-12 months.. 1
12+ months.. 4

MEASURED RISK PORTFOLIOS

5230 Carroll Canyon Road, Suite 224
San Diego, CA 92121
Tel: (858) 935-1125
Fax: (858) 452-3742

Assuming the overall market was down, which of these statements would best describe your attitudes about the next 12 months in this investment? ☐

Losing more than 20% would be acceptable, even over a short period 6
I can tolerate a loss of 10%-20% 5
I can tolerate a loss of 0%-10% 4
I'd have a hard time tolerating any losses 0

Total Points ☐

For purposes of aggregating fee breakpoints, do you have any family members living in your household that currently receive services from our firm? ☐ **Yes** ☐ **No**

Measured Risk Portfolios utilizes options as a primary risk diversification component. Options involve risk and are not suitable for all investors. Prior to buying or selling an option, a person must receive a copy of Characteristics and Risks of Standardized Options. Copies of this document may be obtained from us, your advisor, from any exchange on which options are traded or by contacting The Options Clearing Corporation, One North Wacker Dr., Suite 500, Chicago, IL 60606 (1-888-678-4667). This platform may invest in any asset class and we retain discretionary trading authority on all accounts. In no event will we engage in "naked" option trading, which is the most speculative form of trading.

Portfolio Selection

Suitability questions are subjective so if you feel that you would be better suited in a portfolio other than what your total score indicates, please initial here ______ and select your portfolio below:

13-26 points – Lower Risk Tolerance	17-28 points – Higher Risk Tolerance	29+ points – Most Risk Tolerance
☐ **MRP Lite:** 7-10% allocation to options	☐ **MRP Core**: 10-12% allocation to options ☐ **CLIP:** 100% allocation to equities	☐ **MRP Growth:** 12-15% allocation to options

Advisor Signature ____________________ Date ____________________

Additional Instructions or Custom Portfolio Description:

____

____

____